



York Teaching
Hospital Charity

Reg. Charity No. 1054527

Elliot &
Maxine Bond

Annual Report & Accounts 2019/20

Registered Charity No:
1054527





Caring for your hospitals from York to the Yorkshire Coast.

Annual Report

1. Chairs' Foreword	4
2. Our Income & Fundraising	5
3. Our Expenditure.....	8
4. Governance	11
5. Regulation & Membership.....	13
6. Charity Personnel	14
7. Policies and Procedures	16
8. How you can help	18

Annual Accounts

9. Statement of Trustee's Responsibilities.....	21
10. Independent Auditor's Report.....	22
11. Statement of Accounts.....	23



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Annual Report 2019/20

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Chairs' Foreword

It is with great pride that I write my introductory comments to York Teaching Hospital Charity Annual Report.

The contribution that charitable donations make to our trust for the benefit of our patients and our staff cannot be underestimated. These contributions frequently make the difference between a satisfactory patient experience and a really good patient experience, and for our staff they make the difference between a job well done and an excellent job.

Every single penny donated to our charity makes a difference.

And we are always grateful.

Our thanks as a trust go to the many, many people and organisations and volunteers whose efforts transform our patients' experiences.

We know that these people give their time, effort and energy without ever counting the cost and in the same spirit of generosity and kindness which we hope is the hallmark of a trust like ours.

Running, walking, baking, swimming, dancing, sky-diving! There is no end to the creative, fun ways people find to raise money for our charity. It's hard not to be inspired by these wonderful activities!

Although this Annual Report concludes on 31st March 2020, at the very beginning of the Covid 19 Pandemic, it would be impossible not to mention and celebrate the extraordinary outpouring of support and help from which our trust benefitted during the pandemic. Extraordinary generosity from strangers poured into our trust! This kindness helped keep our hardworking staff motivated, knowing that their efforts were being recognised and valued, and helped us to care for our sickest patients. We will be forever grateful for these very many remarkable contributions. Thank you.

I end as I began, sharing with you my great sense of pride in this trust - in our staff, in our charity team and in the people of the communities we serve who genuinely go the extra mile to help and support others when they are at their most vulnerable.

I hope that you share in my pride.

On behalf of all of us in our trust, a very heartfelt thank you.

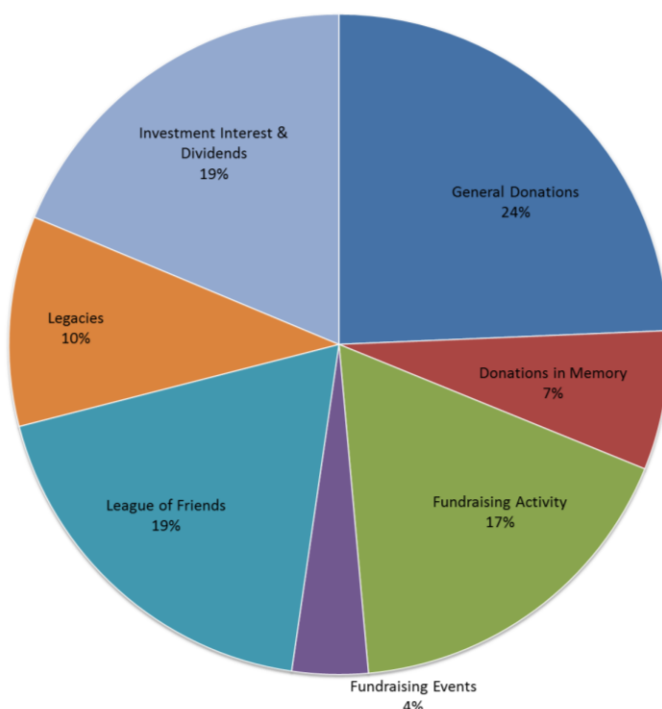


Susan Symington
Chair of Corporate Trustee

Our Income & Fundraising

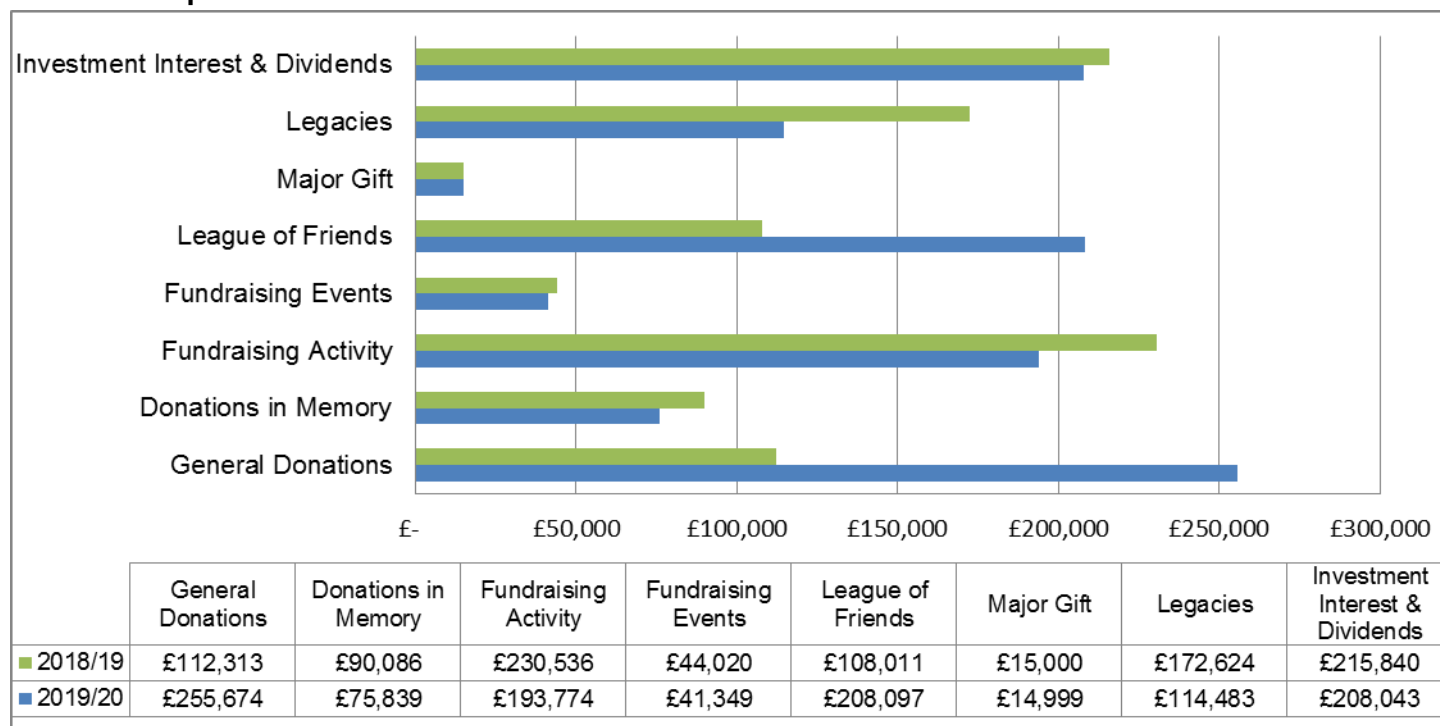
Total income received for the year 2019/20 was £1.11m up 13% year on year.

Income is raised from a number of different streams. General donations come from grateful patients and families for care received, or individual supporters and corporates maintaining on-going relationships with the charity. These made up 24% of income in 2019/20, up £110k vs the previous year. The Leagues of Friends donated £208k, up £100k year on year. Donations in memory of loved ones made up 7%. In memory support fell towards the end of the year with funeral restricted due to Covid-19. Supporters taking part in fundraising activities contributed 17%; these activities take place with the support of the Fundraising Team. 4% of income was generated by the events organised by the Fundraising Team. Legacies accounted for 10% of the income and are an unpredictable income source and were down 34% versus 2018/19.



Income is also received from interest on account balances and dividend payments from invested funds.

Income Comparison Year on Year



Fundraising Highlights

Fundraising for the year comprises the income from General and In Memory Donations, Fundraising Activity and Fundraising Events. This totalled £567k in 2019/20, up 18.8% from £477k in year 2018/19. The increase was driven by a £143k year on year increase in General Donations which came in support of the Butterfly Appeal.

The appeal was launched in February 2019 to raise £250,000 to create a Maternity Bereavement Suite in York Hospital and has been the main focus of fundraising in 2019/20. Every week at York Hospital a family will learn that their baby is unlikely to survive birth.



We know there is pain for these pregnancy loss, soon after birth, precious those before they have

The local Sands

towards the appeal and the Friends of York Fundraising Team held a raffle, securing a raised over £4,000. The team have supported chosen to raise money for the appeal.



nothing that can take away the families following a later stillbirth or death of their baby but we also know how few hours or days can be to say their final goodbyes.

group donated £94,562 Hospital gave £25,000. The holiday for the first prize which several third parties who have

We held four successful challenge events in 2019/20. We ran the Yorkshire 3 Peaks day for the second time hosting 45 walkers who raised over £10k. The York 10k was popular with 23 runners taking to the city streets to support York Teaching Hospital Charity. We had 10 Great North Runners in 2019 with those who wanted one, receiving a mention from Steve Cram on the BBC. Our Sky Dive event is becoming a more difficult ask and will be revised down from two to one date 2020/21. We had 8 sky divers, but the unpredictable weather made it less of an event with more individual dives taking place.

In December we celebrated the National Elf Service, engaging with staff, local schools and GP surgeries. Participants dressed up, held bake sales and other activities to raise money and the Fundraising Team visited with the Elfie Frame to capture the fun.

In 2019/20 we were delighted to be chosen as charity partners for Co-op Clarence Street - York, St Olave's School - York, Grand Opera House York, Marks & Spencer – McArthur Glen and Yorkshire Charity Clay Days. All of whom have specific reasons for raising money for various departments across the Trust.



The Fundraising Team have stewarded 78 third party events last year supporting donors hosting events or carrying out fundraising on our behalf. We want to reiterate our thanks to everyone who has donated and taken part in events which help to support all the different areas of our hospitals and community services.

In this financial year we have seen a 34% decrease in Legacies, down from £172k to £114k. Whilst the Fundraising Team held the 'Make a Will Week' scheme, which is offered as the main legacy toolkit to supporters, we understand Legacies are unpredictable and do vary year on year.

Alongside the appeal, fundraising activity continues to be directed towards the unrestricted funds and appropriate specific funds depending on donors' wishes. The Fundraising Team continues to provide opportunities for people to

engage with the charity and donate. They ensure that all donors receive appropriate thanks and gratitude for their donations and actively promote the charity to raise funds and awareness in a number of different ways; including:

- Organising fundraising events and taking part in larger scale challenge events organised by external companies
- Community activities and collections including our six monthly newsletter sent to our engaged supporters
- Promoting legacies through our Will Writing Scheme and '*in memory*' donations
- Applying for grants from trusts and foundations
- Working with local businesses
- Effectively managing our funds and investing wisely

Fundraising record keeping and monitoring is coordinated through the Harlequin CRM system. We do not engage commercial third parties to provide a fundraising service on behalf of the charity. No fundraising complaints were received by the charity in this financial year.

Associated Charities

York Teaching Hospital Charity receives income through the associated League of Friends for each hospital. These are in response to specific requests for the support of projects or equipment. The total donated by these groups in 2019/20 was £208k.

League of Friends	2019/20	2018/19
Friends of York Hospitals	£ 26,128	£ 67,135
Friends of Selby War Memorial Hospital	£ 16,118	£ 0
York Special Care Baby Unit Support Group	£ 0	£ 0
Friends of St Monica's Hospital	£ 6,300	£ 0
Friends of Malton Hospital	£ 151,671	£ 7,145
Scarborough League of Friends	£ 7,880	£ 16,244
Bridlington League of Friends	£ 0	£ 0
	£ 208,097	£ 90,524

Volunteering

Volunteering has traditionally played an important role in the life of our hospitals and the wellbeing of patients - and this is particularly so in fundraising. Volunteers are vital to helping the charity maximise fundraising opportunities at our events and collections. In the year 2019/20 over 250 hours of time was donated by 104 volunteers at 55 bucket collection events and 2 volunteers providing admin support in the office.

As well as individuals, the charity continues to be actively supported by the following volunteer support groups:

- SCBU Scarborough Support Group
- SCBU York Support Group
- York Respiratory Group
- Hunmanby Cancer Fund
- Filey Cancer Fund
- Heart to Heart Support Group
- Orthopaedic Support Group

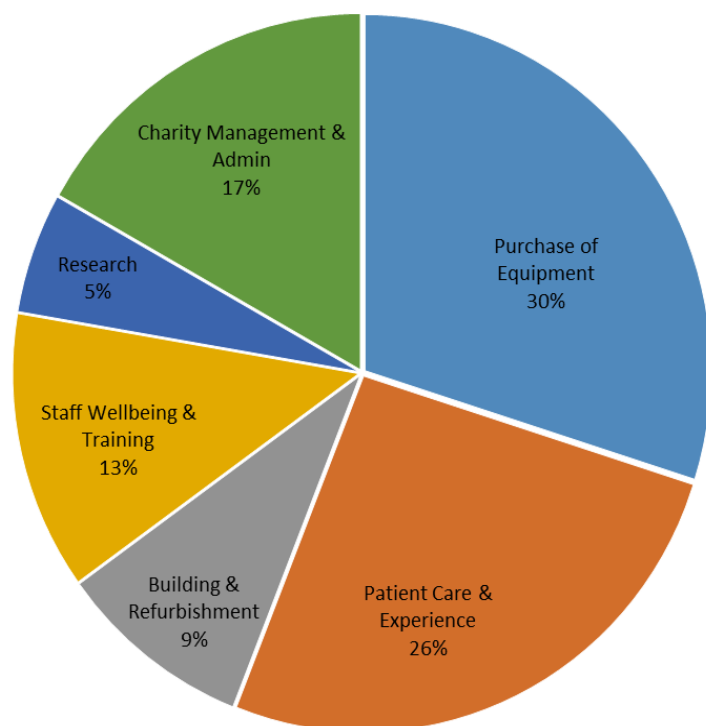
Our Expenditure

Total expenditure for the year 2019/20 was £1.66m, up 66% year on year.

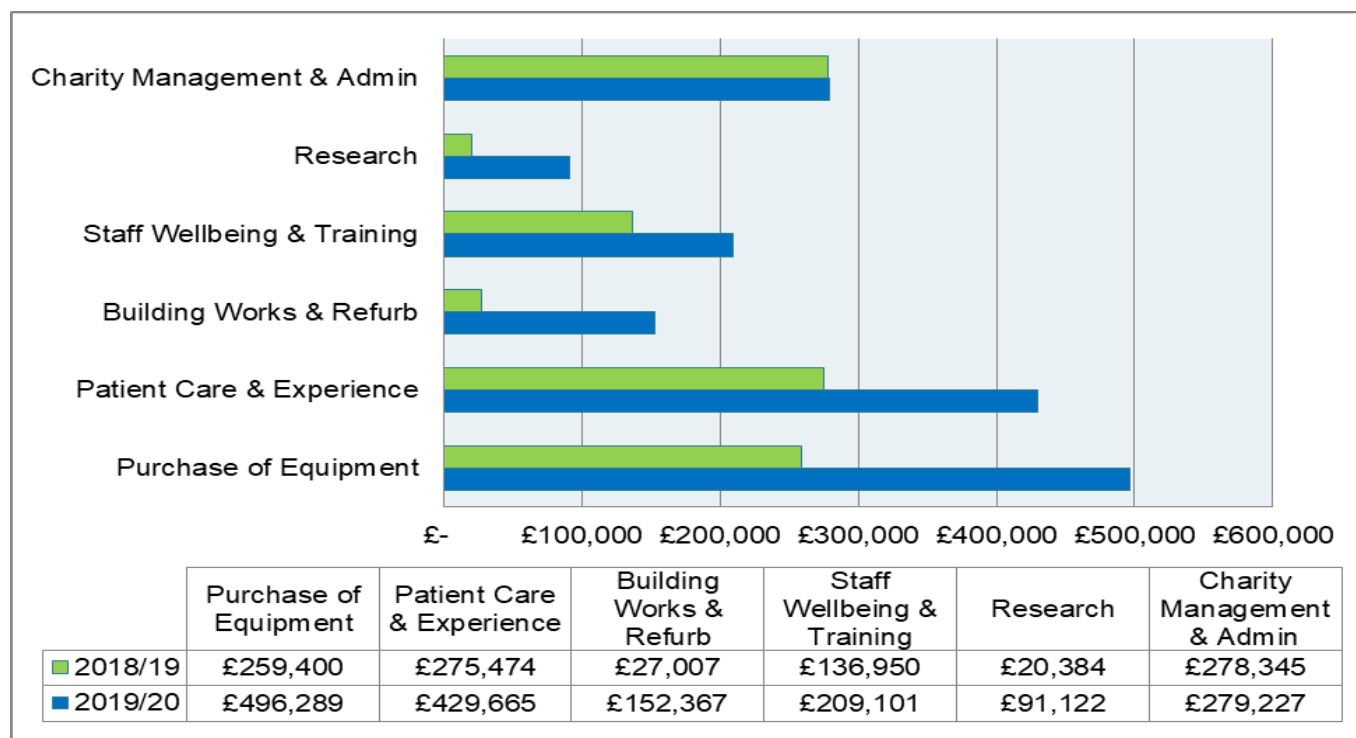
For a third year running, YTHC expenditure was higher than the income. This reflects continuing efforts across the Trust to utilise designated funds within Care Groups.

During the financial year YTHC supported a wide range of hospital and community services. The breakdown of expenditure for YTHC fluctuates from year to year in response to the changing needs and projects within the Trust and Care Groups.

With the exception of Charity Management and Administration costs which have remained static year on year, all areas of support have seen increases in spend versus 2018/19. Expenditure is ultimately for the benefit of patients to have a positive impact on their experience using our hospital and community services. In 2019/20, spend on Patient Care & Experience was £430k, £154k more than in the previous year. £496k was spent on the purchase of equipment, up 91% year on year. We have also increased spend on Building & Refurbishment, +457% vs 2018/19 and £71k more was spent on Research than last year. £209k was spent on Staff Wellbeing & Training +53% year on year and an area which we anticipate will increase moving forwards with the effects of Covid-19.



Expenditure Comparison Year on Year



Expenditure Highlights

Park & Ride Bus



York Teaching Hospital Charity were delighted to be able to support the Park and Ride Bus in partnership with First Bus. The new route which commenced on April 29 2019 was funded by the charity. Patients, staff and visitors alike can pick up the bus from Rawcliffe Bar, travelling through Clifton and being dropped off at the bus stop right outside the front of York Hospital. Over the year it has been great to see passenger numbers double and the service being well utilised. Feedback from staff and patients has been positive.

“The journey is roughly ten minutes! It’s a convenient service, avoids unnecessary parking hassle and drops you off right outside the hospital entrance.”

Safer Transfers for Sick Patients at Scarborough Hospital

A specially equipped trolley used to transfer very sick patients from Scarborough Hospital arrived at the beginning of March. Transfers can occur for many reasons for specialist treatment such as a child to a children’s hospital, burns patients being sent to Pinderfields, head trauma patients to Hull or patients with neurology or cardiac problems to dedicated centres.

Theatres team leader, Claire Jackson explains: “After initial diagnosis critically ill patients may need transferring from Scarborough to other hospitals in the region for further specialist care. The transfer team includes an anaesthetist and an operating department practitioner or an intensive care nurse, and requires a huge amount of equipment - a transportable ventilator, syringe drivers and monitoring equipment to name just a few. We do this in a safe manner by using a specialist trolley.

“The previous trolley was over fifteen years old and with the generous help of York Teaching Hospital Charity we were able to purchase a new one which enables the safe, effective and often time critical transfer of patients when they are seriously ill.”



Oxygen Wrist Bands

Ward 34 at York Hospital applied to charitable funds for Oxygen Wristbands for their patients. The colour coded bands help staff to identify the patients’ target saturation range; ensuring the correct level of Oxygen is prescribed. The wristbands are a simple and effective method to ensure patient safety, acting as an immediate visual prompt for staff. Staff were over the moon when they received them



Infographic demystifies ICU

Visitors to York hospital Intensive Care Unit (ICU) are greeted by a fantastic, super-sized infographic. It aims to help visitors and families understand the equipment that may be used in the treatment of their family or loved ones during their stay in ICU. Each piece of equipment is shown with a description as to what it does and how it helps the patient.

Consultant Rob Ferguson said “For patients and their relatives in ICU it can be the worst and most terrifying days of their lives. ICU involves a lot of invasive complex procedures and equipment which can often overwhelm visitors to the unit. I was hoping to help our visitors by demystifying some of the treatments we give in Intensive Care; I am so appreciative of the support from York Teaching Hospital Charity”.



Radio seeds making a difference to Breast Cancer patients

In May 2019 we were very grateful to have been chosen as one of the beneficiaries of the Yorkshire Charity Clay Days. With the £38,000 received along with match funding from York Teaching Hospital Charity, we were able to purchase a Faxitron machine and radio seeds to improve breast cancer surgery for patients. Jenny Piper, Consultant Oncoplastic Breast Surgeon and Trust Lead Cancer Clinician explains:

“The seeds use radiofrequency signals, the same technology as the microchips in pets. We initially trialled 10 seeds, and were so impressed that we immediately ordered more and now the whole team are using them. The women now don’t need wires inserting on the morning of surgery, and can have the seed inserted a week or more prior to their operation in much calmer circumstances. The surgery is significantly

easier with the seeds, and to date we have inserted over 30 seeds with not one patient needing to come back to theatre for further surgery. We have the Faxitron in theatre, so we can instantly take an X-ray of the breast tissue that has been removed to ensure that the cancer (and the seed) has been excised with adequate margins.”

Radiology Duty Room

The Radiology Duty room has been fully refurbished thanks to donations received. The room is a place for the on-duty radiologist to discuss results, give advice and quickly answer questions from GPs, Doctors and Radiographers from across the Trust. The department are overjoyed with the refurbished room, which means patients will be diagnosed and treated quicker



Governance

Constitution and Powers

The charitable funds of York Teaching Hospital NHS Foundation Trust are registered under a single umbrella charity in the name York Teaching Hospital Charity (YTHC). YTHC was registered with the Charity Commission under number 1054527 on 11 April 1996. The governing document of YTHC was a declaration of trust, dated 23 February 1996, as amended by deed dated 25 May 2012. A Transfer of Staff, Property and Liabilities Order signed by authority of the Secretary of State for Health on 28 June 2012 replaced the Scarborough Healthcare NHS Trust Corporate Trustee with the York Teaching Hospital Charity Corporate Trustee. On 27 March 2013, the Corporate Trustee resolved to de-register the Scarborough charity as a charity in its own right and re-register it as a charity under the umbrella of the York Teaching Hospital Charity.

YTHC was established using the model declaration of trust and all funds held on trust on the date of registration were either part of this unrestricted fund or registered as separate restricted funds under the main charity. Subsequent donations and gifts received by YTHC that are attributable to the original funds are added to those fund balances within the existing charity.

The purpose of YTHC is to apply income for any charitable purpose relating to the National Health Service wholly or mainly for the services provided by the York Teaching Hospital NHS Foundation Trust. York Teaching Hospital NHS Foundation Trust is the Corporate Trustee of YTHC. Members of the Board of Directors are not individual trustees under Charity law but act as agents on behalf of the Corporate Trustee.

The Corporate Trustee fulfils its legal duty by ensuring that monies are spent in accordance with the designation of each fund. By designating funds, the Corporate Trustee respects the wishes of donors to benefit patient care and advance the good health and welfare of patients, carers and staff. Where monies have been received that have legal restrictions imposed, a restricted fund is established.

York Teaching Hospital Charity

Charity Registration Number	1054527
Registered Address	York Teaching Hospital Charity York Hospital Wigginton Road York, YO31 8HE

Charity Governance Committee

In its management of the charitable funds, the Corporate Trustee has had regard to the Charity Commission's guidance on independence. Acting for the Corporate Trustee, the Charity Governance Committee assists in maintaining independence by ensuring the use of charitable funds is focused on the needs of NHS patients. The Charity Governance Committee has devolved responsibility for the overall management of charitable funds. The Committee is required to:

- Control, manage and monitor the use of the charity's resources for the public benefit, having regard for the guidance issued by the Charity Commission.
- Ensure best practice is followed in the conduct of all its affairs fulfilling all of its legal responsibilities.
- Ensure that the Investment Policy, approved by the Corporate Trustee is adhered to and that performance is continually reviewed whilst being aware of ethical considerations and monitor the performance of the investment managers.
- Report to the Corporate Trustee so it remains fully informed on the activity, performance and risks of the charity.

1. Regulation & Membership



YTHC is registered with and adheres to the standards set by the Fundraising Regulator and Fundraising Preference Service.

www.fundraisingregulator.org.uk

**NHS CHARITIES
TOGETHER**

YTHC is an active member of NHS Charities Together (formally known as the Association of NHS Charities). NHS Charities Together seeks to support, and to be the voice of all NHS Charities in England, Scotland and Wales. The principal aim of the Association is to promote the effective working of NHS Charities. Being a member offers YTHC a wide range of support, networking and information services as well as adopting best practice from across the sector.

<https://www.nhscharitiestogether.co.uk/>



All members of the Fundraising Team are individual members of the Institute of Fundraising (IoF). The IoF is the professional membership body for UK fundraising. They support fundraisers through leadership and representation; best practice and compliance; education and networking; and champion and promote fundraising as a career choice.

www.institute-of-fundraising.org.uk

2. Charity Personnel

Corporate Trustee

The following members of the Board of Directors served on behalf of the Corporate Trustee during the year:

York Teaching Hospital Charity Corporate Trustee	
Ms Susan Symington	Chair
Mr Mike Proctor	Interim Chief Executive (to July 2019)
Mr Simon Morritt	Chief Executive (from August 2019)
Mrs Jennifer Adams	Non-Executive Director
Mr Andrew Bertram	Executive Finance Director and Deputy Chief Executive
Mrs Heather McNair	Chief Nurse (from July 2019)
Mrs Helen Hey	Interim Chief Nurse (from March 2019 to June 2019)
Mr Mike Keaney	Non-Executive Director (to January 2020)
Mrs Jenny McAleese	Non-Executive Director, Chair of the Audit Committee
Mrs Wendy Scott	Chief Operating Officer
Ms Polly McMeekin	Director of Workforce & OD
Mrs Lucy Brown	Acting Director of Communications (to February 2020) Director of Communications (from February 2020)
Mr Jim Taylor	Executive Medical Director
Prof. Dianne Willcocks	Non-Executive Director Snr Independent Director (to April 2019)
Ms Lynne Mellor	Non-Executive Director
Dr Lorraine Boyd	Non-Executive Director
Jim Dillon	Non-Executive Director (from July 2019)
Stephen Holmberg	Non-Executive Director (from July 2019) Senior Independent Director (from March 2020)
Non-voting Directors	
Mr Brian Golding	Director of Estates and Facilities (to Dec 2019) Managing Director of YTHFM LLP (to March 2020)

Corporate Advisors

The Corporate Trustee has appointed the following professional advisors during the year:

Bankers	Barclays Bank PLC Leicester, LE87 2BB
Independent Auditor	Grant Thornton UK LLP No. 1 Whitehall Riverside Whitehall Road, Leeds, LS1 4BN
Investment Managers	Brewin Dolphin Ltd 10 Wellington Place, Leeds, LS1 4AN

Charity Governance Committee

The following acted as members of the Charity Governance Committee during the year:

York Teaching Hospital Charity Governance Committee	
Susan Symington	Chair
Andrew Bennett	Head of Capital Projects
Andrew Bertram	Director of Finance (Vice Chair)
Maggie Bulman	Trust and Corporate Fundraiser (to June 2019)
Lucy Clegg	Fundraising Manager (to May 2019)
Rachel Brook	Fundraising Manager (maternity cover from May 2019)
Mark Hindmarsh	Head of Operational Strategy (to October 2019)
Lucy Turner	Deputy Chief Operating Officer (from November 2019)
Steven Kitching	Head of Corporate Finance
Paul Webster	Financial Accountant (to September 2019)
Robert Taylor	Financial Accountant (from September 2019)

Operational Staff

No members of staff are employed directly by YTHC. Salary costs in respect of fundraising, financial management and administration are recharged by York Teaching Hospital NHS Foundation Trust. Staff remain employees of York Teaching Hospital NHS Foundation Trust. The recharge is based on time spent on work related to the charity.

Fundraising	
Lucy Clegg	Fundraising Manager (to May 2019 then maternity leave)
Rachel Brook	Fundraising Manager (maternity cover from May 2019)
Maggie Bulman	Trust & Corporate Fundraiser (to June 2019)
Maya Richardson	Community Fundraiser
Joe Fenton	Community Fundraiser (from November 2019)
Emma Dunnill	Fundraising Assistant (from April 2019)
Finance	
Paul Webster	Financial Accountant (to September 2019)
Robert Taylor	Financial Accountant (from September 2019)
Janet Harrison	Accountancy Assistant
Colin Richardson	Accountancy Assistant

3. Policies and Procedures

During this year, the Charity Governance Committee has reviewed policies and documents relating to each of the items below. The policies are reviewed according to a timetable. The Corporate Trustee has delegated functions to the Charity Governance Committee but retains overall responsibility for the Charity.

Risk Register

The Charity Governance Committee actively reviews the perceived major risks that the Charity faces. Operational standards have been set throughout the organisation which is audited on a regular basis.

The Charity also maintains a risk register which is reviewed annually.

Scheme of Delegation

The Charity operates within the constraints of the scheme of delegation and standing financial instructions.

The acceptance of income (e.g. donations and legacies) and the approval of expenditure operates within an authorisation framework.

Income

All transactions are undertaken in accordance with the Charity's scheme of delegation and standing financial instructions for funds held. This means that all items are subject to strict financial controls to safeguard and monitor monies donated.

Expenditure

Expenditure is appropriately controlled to ensure that it fulfils the objects of the Charity and of the individual funds.

YTHC relies on York Teaching Hospital NHS Foundation Trust to identify the appropriateness of funding requests in order to keep transaction costs to a minimum and to simplify the approval process. All expenditure is approved in advance and in line with the Charity's scheme of delegation. The Charity Governance Committee approves all

significant expenditure in advance and routinely monitors all expenditure to confirm that it is appropriate. A scheme of delegation setting out limits of authority for approving expenditure is in place. All our grants and activities demonstrate public benefit, so that the Corporate Trustee has complied with its duty to have due regard to the guidance on public benefit published by the Charity Commission in exercising its powers or duties.

Investment Policy

The overall objectives of the policy are to create sufficient income and capital growth to enable the charity to carry out its purposes consistently year on year, with due and proper consideration for future needs and the maintenance and enhancement of the value of the invested funds while they are retained.

The charity has a portfolio of professionally managed investments. The Corporate Trustee precludes investment in companies closely connected with the arms trade, tobacco and alcohol industries. This is defined as companies with more than 20% of their turnover in these activities. The investment portfolio is managed on a discretionary basis by YTHC's investment managers, Brewin Dolphin. Investment income amounted to £208k for the year (2019: £216k). The total portfolio was valued at £5,902k at the end of the year (2019: £6,646k).

Reserves Policy

The charity derives most of its income from donations and legacies and this varies from one year to the next. Charities are always conscious of the need to secure their viability for the longer term by putting aside some of their current income to meet future commitments and as a reserve against uncertainties. Charities also have a requirement to apply their income for the purposes for which it was given, within a reasonable timescale.

While the Corporate Trustee does not aim to retain excessive reserves, there is a need to retain some reserves, main reasons being;

- To ensure donations are spent in line with the donor's wishes.
- Donations may be made for specific purposes but will not be spent immediately if unnecessary.
- To ensure there are sufficient funds to fund future developments.
- To meet current or anticipated expenses such as management, administration and governance costs.

The Corporate Trustee calculates the reserves as that part of the unrestricted income funds that is freely available after taking account of designated funds that have already been earmarked for specific needs. At the end of March 2020 the unrestricted undesignated reserves amounted to £395k (2019: £891k). The Corporate Trustee foresees a need only to maintain reserves sufficient to provide certainty of funding for average annual general grant making. Therefore the target range for reserves is £250,000 to £750,000 to ensure the stability of grant funding.

4. How you can help

Donation Form

**Thank you for your support,
together we can make
a huge difference.**

18

NATIONAL ELF SERVICE



#HELPHYOURHOSPITAL



York Teaching
Hospital Charity

Reg. Charity No. 1054527

Annual Accounts
2019/20

Registered Charity No:
1054527



YORK TEACHING HOSPITAL CHARITY

Registered Charity Number 1054527

ANNUAL ACCOUNTS 2019-20

STATUTORY BACKGROUND

The Trustee of the York Teaching Hospital Charity, York Teaching Hospital NHS Foundation Trust, has been appointed under s11 of the NHS and Community Care Act 1990.

The York Teaching Hospital Charity is registered with the Charity Commission and includes funds in respect of York Teaching Hospital NHS Foundation Trust.

MAIN PURPOSE OF THE CHARITY

The main purpose of the York Teaching Hospital Charity is to apply income for any charitable purpose relating to the National Health Service wholly or mainly for the services provided by the York Teaching Hospital NHS Foundation Trust.

Statement of Trustee's Responsibilities

The Trustee is responsible for:

- keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the funds held on trust and to enable them to ensure that the accounts comply with Charities Act 2011 requirements;

- establishing and monitoring a system of internal control;

- establishing arrangements for the prevention and detection of fraud and corruption;

and

safeguarding assets and ensuring their proper application under Charity law.

In accordance with the charities SORP Financial Reporting Standard 102 (FRS 102) and the Charities Act 2011, the Trustee is required to prepare accounts for each financial year. The Charity Commission directs that these accounts give a true and fair view of the financial position of the funds held on trust. In preparing those accounts, the Trustee is required to:

- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities;

- apply on a consistent basis suitable accounting policies;

- make judgements and estimates which are reasonable and prudent;

and

state where the financial statements comply with the trust deed and whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the accounts.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the accounts on a going concern basis. The financial statements set out on pages 23 to 37 attached have been compiled from and are in accordance with, the financial records maintained by the Trustees.

Independent auditor's report to the Trustee of York Teaching Hospital Charity

Statement of Financial Activities for the year ended 31 March 2020

	Note	Unrestricted Funds	Restricted Funds	Endowment Funds	Total at 31 March 2020	Unrestricted Funds	Restricted Funds	Endowment Funds	Total at 31 March 2019
		£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Income and Endowments from									
Donations and Legacies	1.2	433	430	0	863	663	81	0	744
Other Trading Activities		26	15	0	41	27	1	0	28
Investments		144	64	0	208	161	55	0.3	216
Total Income and Endowments		603	509	0	1,112	851	137	0.3	988
Expenditure on									
Raising Funds	1.3, 2	239	41	0	280	207	63	9	279
Charitable Activities	2	842	536	0	1,378	626	93	0	719
Other		0	0	0	0	0	0	0	0
Total Expenditure	4	1,081	577	0	1,658	833	156	9	998
Net gains/(losses) on investments	9.1-9.5	(714)	8	0	(706)	160	6	23	189
Net income/(expenditure)		(1,192)	(60)	0	(1,252)	179	(13)	14	180
Transfers between Funds		(295)	370	(75)	0	138	(15)	(123)	0
Net movement in funds		(1,487)	310	(75)	(1,252)	317	(28)	(109)	180
Fund balances brought forward at 1st April 2019	9.1-9.5	5,442	320	1,305	7,067	5,125	348	1,414	6,887
Fund balances carried forward at 31 March 2020		3,955	630	1,230	5,815	5,442	320	1,305	7,067

The notes 1 - 18 at pages 26 to 37 form part of these accounts.

Statement of Financial Position as at 31 March 2020

	Note	Unrestricted Funds £000s	Restricted Funds £000s	Endowment Funds £000s	Total at 31 March 2020 £000s	Unrestricted Funds £000s	Restricted Funds £000s	Endowment Funds £000s	Total at 31 March 2019 £000s
Fixed Assets									
Investments	5	4,249	354	1,298	5,902	4,785	399	1,462	6,646
Total Fixed Assets		4,249	354	1,298	5,902	4,785	399	1,462	6,646
Current Assets									
Debtors	6	65	23	-	88	31	17	-	48
Short term investments and deposits		147	6	-	153	443	19	-	462
Cash at bank and in hand		14	1	-	15	53	4	-	57
Total Current Assets		226	30	-	256	527	40	-	567
Current Liabilities									
Creditors: Amounts falling due									
within one year	7	231	112	-	343	142	4	-	146
Net Current Assets/(Liabilities)		(5)	(82)	0	(87)	385	36	-	421
Total Net Assets		4,244	273	1,298	5,815	5,170	435	1,462	7,067
Funds of the Charity									
Capital Funds:									
Endowment Funds	9.1	-	-	1,230	1,230	-	-	1,305	1,305
Income Funds:									
Restricted	9.2		630	-	630	-	320	-	320
Unrestricted	9.5	3,955	-	-	3,955	5,442	-	-	5,442
Total Funds		3,955	630	1,230	5,815	5,442	320	1,305	7,067

The notes at pages 26 to 37 form part of these accounts.

Signed for and on behalf of the Corporate Trustee by:

Chairman

Corporate Trustee.....

Statement of Cashflows for the year ended 31 March 2020

	Note	Unrestricted Funds	Restricted Funds	Endowment Funds	Total at 31 March 2020	Total at 31 March 2019
		£000s	£000s	£000s	£000s	£000s
Cashflows from OPERATING Activities						
Net cash provided by (used in) operating activities	10	(862)	340	(75)	(597)	(283)
Cashflows from INVESTING activities:						
Dividends, interest and rents from investments	11	144	64	0	208	216
Proceeds from the sale of property, plant and equipment		0	0	0	0	0
Purchase of property, plant and equipment		0	0	0	0	0
Proceeds from sale of investments	12	1,204	195	372	1,771	812
Purchase of investments	12	(1,178)	(191)	(364)	(1,733)	(732)
Net cash provided by (used in) investing activities		170	68	8	246	296
Cashflows from FINANCING activities:						
Repayments of borrowing		0	0	0	0	0
Cash inflows from new borrowing		0	0	0	0	0
Receipt of endowment		0	0	0	0	0
Net cash provided by (used in) financing activities		0	0	0	0	0
Change in cash and cash equivalents in the reporting period		(335)	(16)	0	(351)	13
Cash and cash equivalents at the beginning of the reporting period	13	496	23	0	519	506
Change in cash and cash equivalents due to exchange rate movements	13	0	0	0	0	0
Cash and cash equivalents at the end of the reporting period	13	161	7	0	168	519

Notes to the Accounts

1 Accounting Policies

1.1 Accounting Convention / Basis of Preparation

The financial statements have been prepared under the historical cost convention, as modified for the revaluation of certain investments, and in accordance with applicable accounting standards. The accounts have been prepared in accordance with the Charities SORP Financial Reporting Standard 102 (FRS 102), the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1st January 2015.

The funds held on trust accounts are prepared in accordance with the accruals concept. With an overall funds balance approaching £6m and expenditure for 2019/20 of £1.6m (and not expected to increase significantly over the next 2 years), then the charity is considered to be a going concern.

The trustees consider that there are no material uncertainties about York Teaching Hospital NHS Charity's ability to continue as a going concern. There are no material uncertainties affecting the current year's accounts.

1.2 Income

- a) All income including donations, activities for generating funds and investment income are included in full in the Statement of Financial Activities as soon as the following three factors are met:

- i) entitlement - arises when a particular resource is receivable or the Charity's right becomes legally enforceable;
- ii) certainty - when it is probable that the income will be received;
- iii) measurement - when the monetary value of the incoming resources can be measured with sufficient reliability.

- b) Legacies

Legacies are accounted for as income once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

Where the exact monetary value is not known. An assessment will be made based on known facts and potential liabilities and disbursements due from the estate, to provide a reasonable estimation of the amount due to the charity.

Notes to the Accounts

1.3 Expenditure

All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party. Expenditure is apportioned on a direct cost basis across restricted, unrestricted and endowment funds and irrecoverable VAT is included in the expense to which it relates.

a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the funds held on trust. This will include the costs associated with investment managers' fees.

b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the furtherance of the funds held on Trust's charitable objectives to relieve those who are sick. They are accounted for where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant, or any condition attaching to the grant is outside the control of the Charity.

c) Governance costs

These cover legal and audit fees.

d) Support costs

These are recharges of appropriate proportions of staff services costs from York Teaching Hospital NHS Foundation Trust. See Note 4

1.4 Fixed Assets

Fixed assets bought via the Charity are gifted to the Trust and accounted for in the Trust's accounting records.

Tangible and intangible assets which are capable of being used for more than one year, and have a cost equal to or greater than £5,000 are capitalised.

1.5 Cash and cash equivalent

Cash at bank and in hand is held to meet the day to day running costs of the Charity as they fall due. Cash equivalents are short term, highly liquid investments, held in interest bearing savings accounts.

1.6 Debtors

Debtors are amounts owed to the Charity. They are measured on the basis of their recoverable amount.

1.7 Creditors

Creditors are amounts owed by the Charity. They are measured at the amount that the Charity expects to have to pay to settle the debt.

Notes to the Accounts

1.8 Financial Instruments

The Charity has financial assets and financial liabilities of a kind that qualify as basic financial instruments.

With the exception of the fixed asset investments, basic financial instruments are initially recognised at transaction value and subsequently measured at the present value of the future cash flows unless the effect of discounting would be immaterial.

Financial assets comprise cash at bank and in hand and debtors.

Financial liabilities comprise creditors.

Fixed asset investments, including bonds and cash held as part of the investment portfolio, are reported at fair value at the balance sheet date, with gains and losses being recognised within statement of financial activities.

1.9 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustee has chosen to earmark for set purposes are designated funds. The major funds held within these categories are disclosed on notes 9.1 to 9.6.

1.10 Investment in Fixed Assets

Investment in fixed assets are shown at market value as at the balance sheet date. The Statement of Financial Activities includes the net gains and losses arising on any revaluation and disposals throughout the year.

- i.) Quoted stocks and share are included in the Balance Sheet at mid-market price, ex-div.
- ii.) Other investment fixed assets are included at market value as per the Charity's investment manager's valuation report.

1.11 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

Notes to the Accounts

Analysis of Charitable Expenditure	2	Unrestricted Funds	Restricted Funds	Endowment Funds	Total 2020	Total 2019
		£000s	£000s	£000s	£000s	£000s
Patients welfare and amenities		212	218	0	430	276
Staff welfare and amenities		288	12	0	300	157
Building and refurbishment		67	85	0	152	27
Purchase of equipment		275	221	0	496	259
		842	536	0	1,378	719

Analysis of Governance Costs	3	Unrestricted Funds	Restricted Funds	Endowment Funds	Total 2020	Total 2019
		£000s	£000s	£000s	£000s	£000s
Audit fee		4	1	0	5	5
Governance		0	0	0	0	0
		4	1	0	5	5

Analysis of Total Expenditure	4	Costs of Activities for Charitable Objectives	Support Costs	Cost of Generating Funds	Total 2020	Total 2019
		£000s	£000s	£000s	£000s	£000s
Audit fee		0	5	0	5	5
Other Governance fees		0	0	0	0	0
Bought-in services from NHS		0	87	0	87	85
Charitable expenditure		1,378	0	0	1,378	790
Fundraising		0	0	150	150	121
Investment management fees		0	0	38	38	39
Total		1,378	92	188	1,658	1,040

The Charity had no employees in the period (2019: nil).

Notes to the Accounts

Analysis of Fixed Asset Investments

5			Total 2020	Total 2019
5.1	Fixed Asset Investments:		£000s	£000s
	Market value at 31 March 2019		6,646	6,496
	Less: Disposals at carrying value		(1,771)	(812)
	Add: Acquisitions at cost		1,733	732
	Net gain on revaluation		(706)	189
	Transfers (to)/from cash		0	41
	Market value at 31 March 2019		5,902	6,646
	Historic cost at 31 March 2019		5,713	5,361

5.2	Market value at 31 March 2018:	Held in UK	Total 2020	Total 2019
		£000s	£000s	£000s
	Investments listed on Stock Exchange	2,920	5,604	6,577
	Cash held as part of the investment portfolio	298	298	69
	Total	3,218	5,902	6,646

No investments are held which each represent more than five percent of the value of the investment portfolio (2019 nil).

5.3 Investment Portfolio Summary

Investment category	Market value 2019 £000s	Market value 2018 £000s
UK Bonds	847	1,045
Overseas Bonds	0	96
UK Equities	1,716	2,235
North American Equities	1,390	1,410
European Equities	303	286
Japanese Equities	224	274
Asia Pacific Equities	271	321
Emerging Market Equities	113	108
Private Equity	98	100
Other Investments	146	125
Absolute Return	496	384
Property	0	193
Cash - deposit	298	69
Total	5,902	6,646

Notes to the Accounts

Analysis of Debtors	6		Total 2020	Total 2019
		Amounts falling due within one year:	£000s	£000s
		Accrued income	<u>88</u>	<u>49</u>
		Total debtors falling due within one year	<u>88</u>	<u>49</u>
<hr/>				
Analysis of Creditors	7		Total 2020	Total 2019
		Amounts falling due within one year:	£000s	£000s
		Accruals	302	116
		Commitments	<u>40</u>	<u>30</u>
		Total creditors falling due within one year	<u>342</u>	<u>146</u>
<hr/>				
Financial Instruments	8		Total 2020	Total 2019
			£000s	£000s
		Financial assets measured at fair value through profit or loss		
		Investments	5,902	6,646
		Financial assets measured at amortised cost		
		Bank and cash	168	519
		Debtors	88	49
		Financial liabilities measured at amortised cost		
		Creditors	342	146

Notes to the Accounts

Analysis
of 9
Funds

9.1 Endowment Funds

	Balance 1 April 2019	Income	Expenditure	Transfers	Gains and Losses	Balance 31 March 2020
Material funds	£000s	£000s	£000s	£000s	£000s	£000s
Elsie May Sykes Legacy	1,244	0	0	(75)	0	1,169
Others (2)	61	0	0	0	0	61
Total	1,305	0	0	(75)	0	1,230

9.2 Restricted Funds

	Balance 1 April 2019	Income	Expenditure	Transfers	Gains and Losses	Balance 31 March 2020
Material funds	£000s	£000s	£000s	£000s	£000s	£000s
Butterfly Appeal	17	209	(9)	0	1	218
Elsie May Sykes Legacy	21	42	(15)	75	5	128
John Moore/Stringer Legacy	125	4	(6)	0	0	123
Park & Ride Service	0	52	(293)	295	1	55
Other Restricted Funds (18)	157	202	(254)	0	1	106
Total	320	509	(577)	370	8	630

Note 9.2 discloses funds with a closing balance of £50k and above.

The remaining funds are grouped within others, which consists of 18 funds below the £50k threshold.

9.3 Details of material funds - endowment funds

Name of fund

Description of the nature and purpose of each fund

Elsie May Sykes Legacy

Income from this fund to be used for the purchase of medical equipment or to further medical research

9.4 Details of material funds - restricted funds

Name of fund

Description of the nature and purpose of each fund

Butterfly Appeal

Children's Services

Elsie May Sykes Legacy

Medical Equipment/Research

John Moore/Stringer Legacy

Oncology Services

Park & Ride Service

Patient & Staff Benefit

Notes to the Accounts

Analysis of Funds 9 (Continued)

9.5 Unrestricted Funds

	Balance 1 April 2019	Income	Expenditure	Transfers	Gains and Losses	Balance 31 March 2020
	£000s	£000s	£000s	£000s	£000s	£000s
Material funds						
Coronary Care Unit	424	19	(31)	0	2	414
Scarborough Children's Target Fund	328	11	(20)	0	2	321
Critical Care Patient Information System	220	0	0	0	0	220
Haematology	214	58	(92)	0	1	181
Diabetic Research	170	4	(62)	0	1	113
York Integrated Breast Unit	60	33	0	19	0	112
York Cancer	131	13	(34)	0	0	110
Dementia Appeal	112	18	(28)	0	1	103
Scarborough Cardiology Fund	92	8	(8)	0	0	92
Gastro Services & Research	97	4	(17)	0	1	85
Scarborough Chemotherapy	72	13	(6)	0	0	79
Selby Hospital	85	8	(18)	0	1	76
Rheumatology	74	3	(4)	0	0	73
Epilepsy Fund	72	2	(6)	0	0	68
Radiographers Dept.	75	2	(12)	0	0	65
Scarborough Palliative Care	61	7	(4)	0	0	64
Intensive Care Unit	44	7	(7)	18	0	62
York Ward 31	53	9	(4)	0	0	58
York Special Care Baby Unit	52	17	(12)	0	0	57
Other Unrestricted Funds (167)	2,113	255	(397)	(37)	(726)	1,208
Total	4,549	491	(762)	0	(717)	3,561
General Fund	549	95	(270)	(295)	1	80
Scarborough Unallocated	197	12	(39)	0	1	171
Bridlington Unallocated	147	5	(10)	0	1	143
Total	893	112	(319)	(295)	3	394
Overall Unrestricted Funds total	5,442	603	(1,081)	(295)	(714)	3,955

Note 9.5 discloses funds with a closing balance of £50k and above.

The remaining funds are grouped within others, which consists of 167 funds below the £50k threshold.

Details of material funds - unrestricted funds

Name of fund	Description of the nature and purpose of each fund
Coronary Care Unit	Designated - Coronary Care
Scarborough Children's Target Fund	Designated - Scarborough Children's Target Fund
Critical Care Patient Information System	Designated - Critical Care Patient Information System
Haematology	Designated - Haematology
Diabetic Research	Designated - Diabetes Services
York Integrated Breast Unit	Designated - York Integrated Breast Unit
York Cancer	Designated - Cancer Care Services
Dementia Appeal	Designated - Dementia Appeal
Scarborough Cardiology Fund	Designated - Scarborough Cardiology Fund
Gastro Services & Research	Designated - Gastro Services & Research
Scarborough Chemotherapy	Designated - Scarborough Chemotherapy
Selby Hospital	General charitable purpose
Rheumatology	Designated - Rheumatology
Epilepsy Fund	Designated - Epilepsy
Radiographers Dept.	Designated - Radiographers Department
Scarborough Palliative Care	Designated - Palliative Care Services
Intensive Care Unit	Designated - Intensive Care Unit
York Ward 31	Designated - York Ward 31
York Special Care Baby Unit	Designated - York Special Care Baby Unit
General Fund	General charitable purpose
Scarborough Unallocated	General charitable purpose
Bridlington Unallocated	General charitable purpose
Cardiology Fund	Designated - Cardiology Services

Notes to the Accounts

Related
Party
Transactions

10 Details of transactions with trustees or connected persons

The Trustee of the Charity is the Board of Directors of York Teaching Hospital NHS Foundation Trust which is the main beneficiary of the Charity.

The following transactions were undertaken between the two organisations during the year:

The Charity funded charitable expenditure incurred by the Trustee of £1.66m during 2019/20 (£998k during 2018/19).

The Charity owed the Trustee £342k at 31 March 2020 (£146k at 31 March 2019).

The Trustee owed the Charity Nil at 31 March 2020 (Nil at 31 March 2019).

The Trustee charged the Charity £279k on fundraising and support costs (£278k for 2018/19).

The Trustee received no remuneration or expenses for their services in the year.

Net cash
provided by
(used in)
operating
activities

11 Methodology to apply as per statement of cash flows exposure draft - Accounting and reporting by Charities

	Unrestricted Funds £000s	Restricted Funds £000s	Endowment Funds £000s	Total at 31 March 2020 £000s	Total at 31 March 2019 £000s
Net movement in funds for the reporting period (as per the SoFA)	(1,487)	310	(75)	(1,252)	180
Adjustments for:					
Dividends, interests and rents from investments	(144)	(64)	0	(208)	(216)
(Increase)/decrease in debtors	(34)	(6)	0	(40)	59
Increase/(decrease) in creditors	89	108	0	197	(76)
(Gains)/losses on investments	714	(8)	0	706	(189)
(Increase)/decrease in investment deposit account	0	0	0	0	(41)
Net cash provided by (used in) operating activities	(862)	340	(75)	(597)	(283)

Cash from
dividends,
interest and
rents from
investments

12 This figure comes from the SoFA and comprises the following investment income;

	31 March 2020 £000s	31 March 2019 £000s
Dividends	206	214
Interest - Business Premium Account	2	2
Interest - General Fund	0	0
Total	208	216

**Purchase and
sale of
investments**

13 From the investment portfolio report provided by the investment manager

	31 March 2020	31 March 2019
	£000s	£000s
Purchases	(1,771)	(732)
Sales	1,733	812
Net position	(38)	80

**Analysis of cash
and cash
equivalents**

14

	31 March 2020	31 March 2019
	£000s	£000s
Cash in hand	15	57
Notice deposits (less than 30 days)	153	462
Overdraft facility repayable on demand	0	0
Total cash and cash equivalents	168	519

Notes to the Accounts

Auditor's Remuneration

15

The Auditor's remuneration of £4,800 (£5,400 in 2018/19) relates solely to the audit with no other additional work being undertaken (2018/19: nil).

Analysis of Staff Costs

16

No members of staff are employed directly by the Charity, salary costs in respect of financial management and administration are recharged by York Teaching Hospital NHS Foundation Trust.
The recharge is based on time spent on work related to the charity.

	Total	Total
	2020	2019
	£000s	£000s
Salaries and Wages	146	144
Social Security costs	20	19
Employers Pension contribution	13	13
Total	179	176

Analysis of Capital Commitments

17

	Total	Total
	2020	2019
	£000s	£000s
Share the Care	0	1
Total	0	1

The Charity had no capital commitments (£1k in 2018/19).

Control

18

The objectives of the Charity as set out in the governing documents are discharged by the Corporate Trustee.
The Corporate Trustee are York Teaching Hospital NHS Foundation Trust's Board of Directors who carry out these duties independently from their obligations as Foundation Trust Board members.